



NEWSLETTER ^{Weekly}

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SECURITIES AND EXCHANGE BOARD OF INDIA

1. Usage of brand name/trade name by Investment Advisers (IA) and Research Analysts (RA)

SEBI in exercise of powers conferred under section 11(1) of the Securities and Exchange Board of India Act, 1992, vide notification dated 06.04.2023, gave certain guidelines to ensure the transparency in usage of brand name/trade name/logo.

Circular can be accessed from here:

<https://www.sebi.gov.in/legal/circulars/apr-2023/usage-of-brand-name-trade-name-by-investments-ia-and-research-analysts-ra-69839.html>

2. Advertisement code for Investment Advisers (IA) and Research Analysts (RA)

SEBI in exercise of powers conferred under section 11(1) of the Securities and Exchange Board of India Act, 1992, vide notification dated 05.04.2023, provided for code of conduct to be followed by IAs and RAs respectively in order to further strengthen the conduct of IAs and RAs.

Circular can be accessed from here:

<https://www.sebi.gov.in/legal/circulars/apr-2023/advertisement-code-for-investment-advisers-ia-and-research-analysts-ra-69798.html>

You may send your suggestions at arpit@asalegal.in

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